



Annual Review of the Care Inspectorate Financial Regulations

Report to: Board
Date: 16 December 2016
Report by: Kenny Dick, Head of Finance and Corporate Governance
Report No: B-28-2016
Agenda Item: 14

PURPOSE OF REPORT

The Financial Regulations were last reviewed in December 2015. In line with the approval and revision arrangements (para 21 of financial regulations attached as Appendix 1) the annual review of Financial Regulations is now due to be carried out.

The one significant change to the Financial Regulations is the inclusion of a new paragraph on "Grants Awarded to the Care Inspectorate". All amendments have been documented in the version control page (page 2) of the Financial Regulations.

The Resources Committee discussed and agreed the changes at its meeting on 5 December 2016. Board members are requested to approve the Care Inspectorate Financial Regulations to ensure they remain fit for purpose in accordance with corporate governance best practice.

RECOMMENDATIONS

That the Board:

1. Approves the Financial Regulations.

Version: 3.0	Status: <i>Final</i>	Date: 07/12/16
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Version Control and Consultation Recording Form

Version	Consultation	Manager	Brief Description of Changes	Date
	Senior Management	ET		21/11/16
	Legal Services			
	Corporate and Customer Services Directorate			
	Committee Consultation (where appropriate)	Resources		5/12/16
	Partnership Forum Consultation (where appropriate)			
Equality Impact Assessment				
Confirm that Involvement and Equalities Team have been informed			YES <input type="checkbox"/>	NO <input type="checkbox"/>
EIA Carried Out			YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
If yes, please attach the accompanying EIA and appendix and briefly outline the equality and diversity implications of this policy.				
If no, you are confirming that this report has been classified as an operational report and not a new policy or change to an existing policy (guidance, practice or procedure)			Name: K Dick Position: Head of Finance & Corporate Governance	
Authorised by Director	Name: K Reid		Date: 7 December 2016	

LIST OF APPENDICES

Appendix 1 - Draft Finance Regulations (version 4.0)_Tracked changes

Appendix 2 - Draft Finance Regulations (version 5.0)_Changes accepted

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